



Horizon 2020  
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# RL-based Rolling Stock Rostering (consider maintenance)

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# Railway Problem and Motivation



## Objective of the PoC

Explore the feasibility of applying RL approaches  
Into Rolling Stock maintenance routing problem

## Constraints / Requirements

Minimize the overall usage of rolling stock units  
Consider the accumulated travelling mileage

## Main Issues and Challenges

Data Availability & Acquisition  
NP-hard problem if there are too many  
services to fulfill

## Key Performance Indicators

Computation Resources  
Usage of minimum rolling stock units

# Proof-of-Concept as a Benchmark



**AI Application**  
Adversarial Search

**AI Related Disciplines**  
Reinforcement Learning

**AI Techniques**  
Semi-supervised - Agent Based Modelling  
Supervised – Deep Q-Network Learning  
Self-Training / Optimization

**Inspiring Solutions**  
Typical maintenance routing (heuristics)  
TSP (Travelling Salesman Problem)  
CVRP (Capacitated Vehicle Routing Problem)  
Intelligent Rolling Stock Rostering



## Datasets

TransPennine Express  
Real-world timetable data  
Synthetic Data



## Developments / Implementations

Creating the RS routing/rostering environment  
Designing the Q-Learning Algorithm as needed  
Writing the training loop

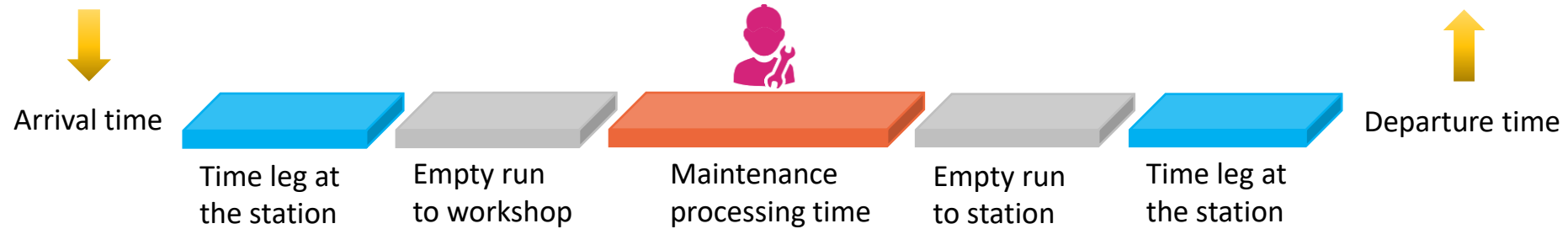
## Exploited Software and Framework

Keras-RL: DQNAgent  
Scipy, Numpy, Pandas

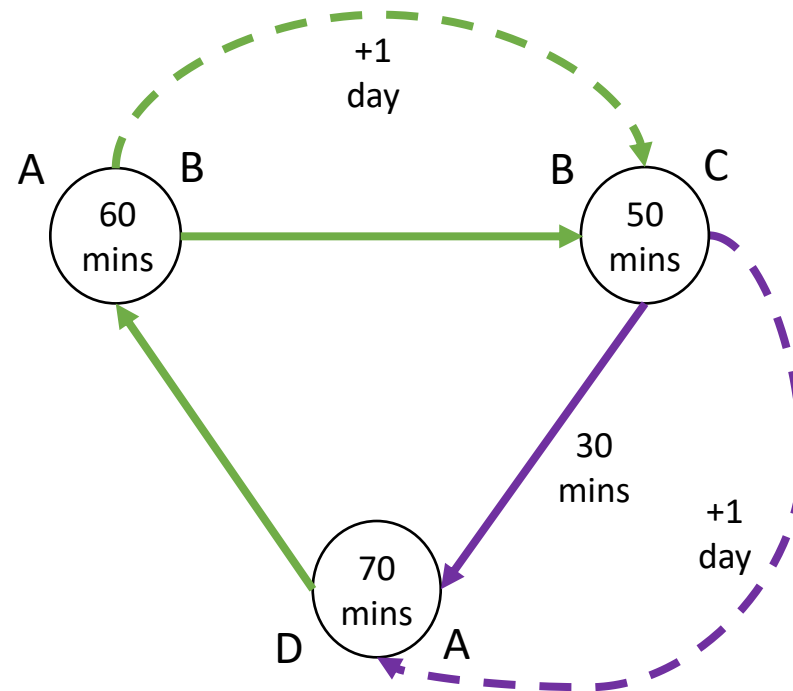
## Hardware Requirements

Google Colaboratory  
GPU(s) with CUDA cores and 16GB System RAM

# Problem scenarios



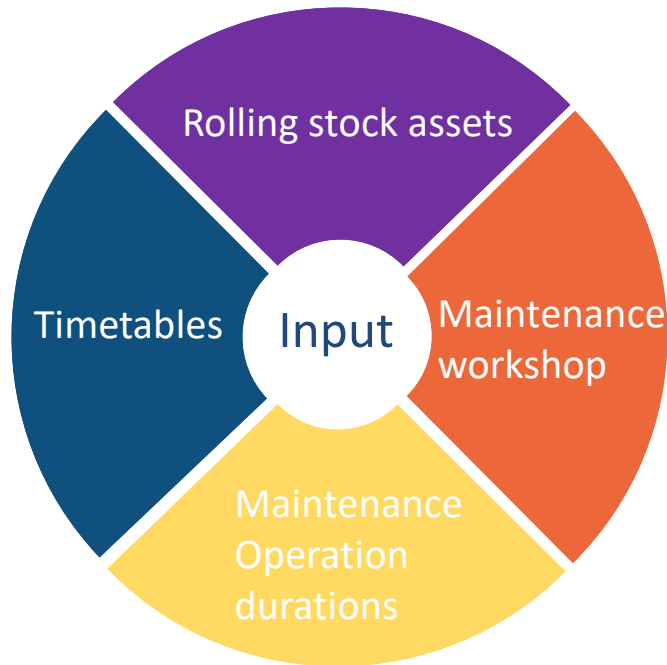
## Illustrative Example



- > No empty run with maintenance
- > No empty run without maintenance
- > Empty run without maintenance
- - -> Empty run with maintenance

- Maintenance workshop locates at Station B

# Approach: A Modular Architecture



**Objective:** Rostering with the minimum costs of RS units (consider maintenance activities)



## Rostering

Each train service represents as a node  
Arcs denotes the train movements between two consecutive services



## Maintenance

Categories of Arcs :  
Empty run with/without maintenance  
No empty run with/without maintenance

## Reinforcement Learning module



Agent  
Environment  
States  
Actions  
Reward

# Approach: reinforcement learning components

## The settings of reinforcement learning module

### Fundamental settings

- Set the **Agents** as the RS units, keep the number of agent as less as possible
- Maintain several **Q-matrixes** for each arc category - there are multiple arcs between each station pair
- **Objective** constraints: Minimum overall costs of RS units & number of empty runs

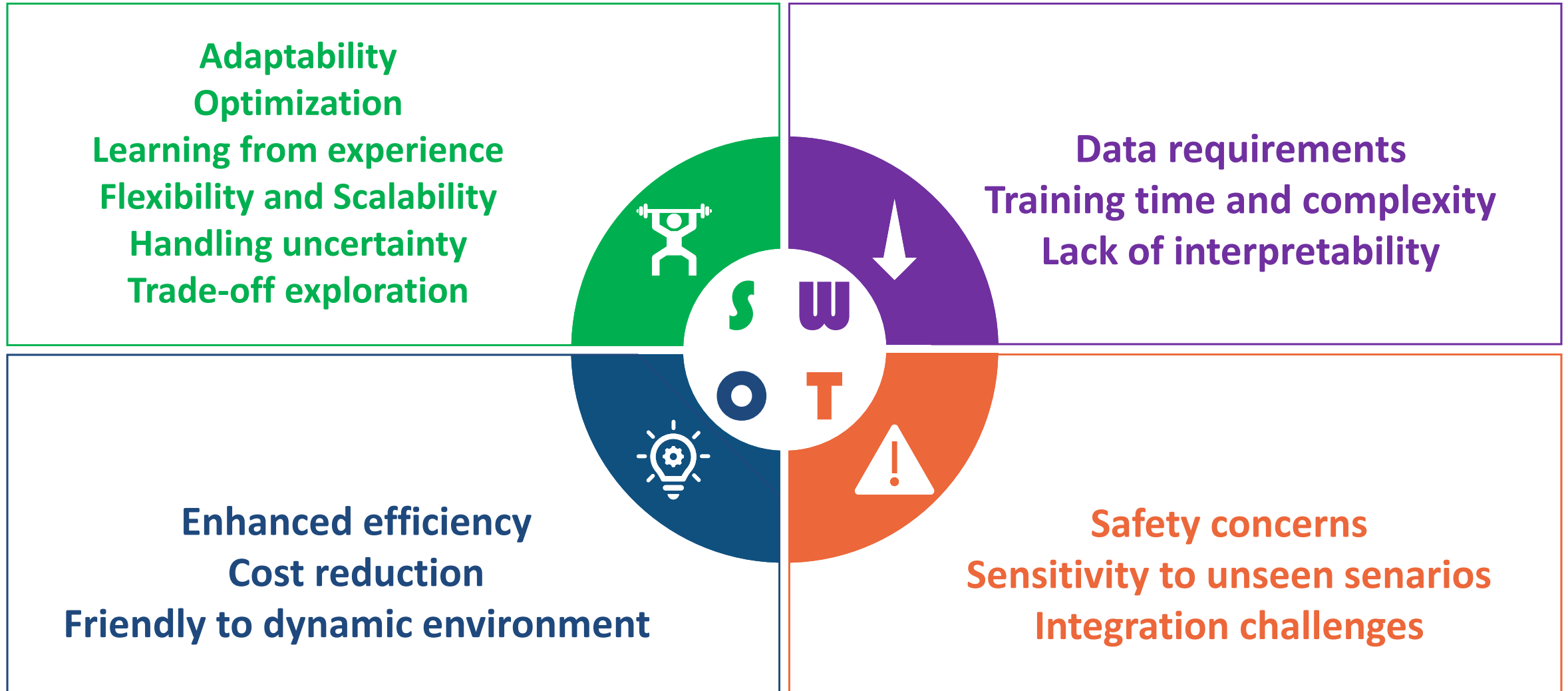
### States maintained

- Accumulated kilometres (AK) as the **AK-state** (For each RS units)
- **Maintenance-state:** When the AK over a threshold, i.e., maximum kms for maintenance, set the next **action** with a penalty value: Fail

### Other settings

- **Reward:** the necessary costs (measured with time) between two consecutive services, including empty run time + station waiting time + maintenance time (if any)
- **Environment:** the different train services to deliver with different origins & destinations, and the next station where to navigate
- **Actions:** at each location, the decisions to make are “which service do I chose to deliver next”

# SWOT Analysis of the Investigated Approach



# Recommendations

**Multi-objective optimization**



Additional objective functions  
such as energy consumption,  
Operational resilience

**Integration with predictive maintenance**



Condition monitoring  
Failure prediction

**Transfer learning and generalization**



Reduce the training time  
& resource requirements



# Thank you for your attention!



- ✓ *Deliverable D3.1: WP3 Report on case studies and analysis of transferability from other sectors (safety and automation)*  
*Deliverable D3.2: WP3 Report on AI approaches and models*
- ✓ *Deliverable D3.3: WP3 Report on experimentation, analysis, and discussion of results*
- ↻ *Deliverable D3.4: WP3 Report on identification of future innovation needs and recommendations for improvements*
- ↻

Available at: <https://rails-project.eu/downloads/deliverables/>